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2	Characteristics of JN.1-derived SARS-CoV-2 subvariants SLip, FLiRT, and KP.2 in
3	neutralization escape, infectivity and membrane fusion
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#### 45 SUMMARY

SARS-CoV-2 variants derived from the immune evasive JN.1 are on the rise worldwide. Here, we 46 investigated JN.1-derived subvariants SLip, FLiRT, and KP.2 for their ability to be neutralized by antibodies in 47 bivalent-vaccinated human sera. XBB.1.5 monovalent-vaccinated hamster sera, sera from people infected 48 during the BA.2.86/JN.1 wave, and class III monoclonal antibody (Mab) S309. We found that compared to 49 parental JN.1, SLip and KP.2, and especially FLiRT, exhibit increased resistance to COVID-19 bivalent-50 vaccinated human sera and BA.2.86/JN.1-wave convalescent sera. Interestingly, antibodies in XBB.1.5 51 monovalent vaccinated hamster sera robustly neutralized FLiRT and KP.2 but had reduced efficiency for SLip. 52 These JN.1 subvariants were resistant to neutralization by Mab S309. In addition, we investigated aspects of 53 spike protein biology including infectivity, cell-cell fusion and processing, and found that these subvariants, 54 55 especially SLip, had a decreased infectivity and membrane fusion relative to JN.1, correlating with decreased spike processing. Homology modeling revealed that L455S and F456L mutations in SLip reduced local 56 hydrophobicity in the spike and hence its binding to ACE2. In contrast, the additional R346T mutation in FLiRT 57 and KP.2 strengthened conformational support of the receptor-binding motif, thus counteracting the effects of 58 L455S and F456L. These three mutations, alongside D339H, which is present in all JN.1 sublineages, alter the 59 epitopes targeted by therapeutic Mabs, including class I and class III S309, explaining their reduced sensitivity 60 to neutralization by sera and S309. Together, our findings provide insight into neutralization resistance of newly 61 62 emerged JN.1 subvariants and suggest that future vaccine formulations should consider JN.1 spike as immunogen, although the current XBB.1.5 monovalent vaccine could still offer adequate protection. 63

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#### 65 **INTRODUCTION**

Tracking the ongoing evolution of SARS-CoV-2 and its impacts on spike protein biology, particularly 66 sensitivity to neutralizing antibodies, is critical as the pandemic continues. The pandemic underwent a turning 67 point in late summer 2023 with the emergence of BA.2.86, a variant characterized by over 30 spike protein 68 mutations relative to then dominate variant XBB.1.5<sup>1</sup>. Fortunately, despite its myriad mutations, BA.2.86 did not 69 exhibit increased immune evasion, but was better neutralized by antibodies in convalescent and vaccinated sera 70 relative to XBB-lineage variants<sup>2-11</sup>. However, mounting concern has arisen with the subsequent variants that 71 have evolved from BA.2.86. This includes JN.1, which emerged in late 2023 and is characterized by the single 72 spike mutation L455S relative to BA.2.86<sup>1</sup>. This single mutation launched JN.1 to dominance worldwide from late 73 74 2023 through May 2024<sup>12</sup>. L455S contributes to the lower affinity of JN.1 for human ACE2 but enhances its immune evasion to neutralizing antibodies and viral transmission<sup>9,13-18</sup>. 75

Since JN.1's emergence, a series of variants that possess mutations at key sites in spike have been 76 identified, including L455, F456, and R346 (Figure 1A). Initially, the so-called FLip variants emerged, possessing 77 L455F and F456L mutations in the backbone of XBB.1.5, hence the name "FLip" <sup>5,10</sup>. These sites have continued 78 to be hotspots, with a strain called "SLip" having emerged, which has the JN.1 spike protein with the F456L 79 mutation - the "S" referring to the L455S mutation that characterizes JN.1. More recently, we have seen the 80 emergence of the FLiRT variant, which harbors an additional R346T mutation in the backbone of SLip. Another 81 82 variant, called KP.2, contains both R346T in S1 as well as an V1140L mutation in S2. JN.1 is currently waning in dominance around the world, becoming quickly supplanted in circulation by KP.2 and other JN.1 derived 83 variants<sup>12,19</sup> (**Figure 1B**). 84

It has been shown that JN.1 can be neutralized by XBB.1.5-monovalent vaccinated sera, albeit with a 85 reduced efficiency<sup>9,16,17,20</sup>. However, it is currently unclear whether additional spike mutations gained in the JN.1 86 lineage subvariants will affect the efficacy of this COVID-19 vaccine formulation, especially with the approach of 87 upcoming fall and winter seasons. In this study, we investigated the ability of SLip, FLiRT, and KP.2, in parallel 88 with their parental JN.1, to be neutralized by sera from: (i) individuals vaccinated with at least 2 doses of 89 monovalent ancestral spike (wildtype, WT) mRNA vaccine with 1 dose of bivalent WT+BA.4/5 booster, (ii) 90 hamsters vaccinated with 2 doses of XBB.1.5 monovalent recombinant mumps vaccine, and (iii) individuals 91 infected during the BA.2.86/JN.1-wave of infection in Columbus, OH. These analyses were conducted alongside 92

the ancestral D614G and are supplemented with antigenic cartography analyses. We also characterized the entry and fusogenicity of these variants in 293T-ACE2 and CaLu-3 cells, as well as the spike processing and expression on plasma membranes. Critically, our investigation focuses on the comparison between XBB.1.5 and JN.1 as immunogens against these JN.1-lineage variants and determines whether any dramatic changes in spike protein biology have occurred, as well as their impact on neutralization escape and viral infectivity.

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### 99 RESULTS

100 Impacts of JN.1-derived variants on viral entry and infectivity in 293T-ACE2 and CaLu-3 cells

We first investigated the efficiency in which pseudotyped lentiviruses bearing SARS-CoV-2 spikes of interest 101 can enter 293T cells overexpressing human ACE2 (293T-ACE2) and human lung epithelial cell line CaLu-3. As 102 reported previously by our group, earlier Omicron variants, including BA.2 and XBB.1.5, exhibited higher 103 infectivity relative to the ancestral D614G variant in 293T-ACE2 cells<sup>21</sup>: however, infectivity decreased modestly 104 for BA.2.86 and JN.1 (Figure 1C)<sup>16</sup>. Here we found that while FLiRT exhibited a similar infectivity to JN.1 in this 105 cell line, KP.2 (p > 0.05), and especially SLip (p < 0.05), demonstrated modest reductions compared to JN.1 106 (Figure 1C). As we demonstrated previously, Omicron variants maintain a markedly reduced infectivity in CaLu-107 3 cells relative to D614G, with a notable increase for BA.2.86<sup>21,22</sup>. However, we observed here that JN.1, SLip, 108 FLiRT and KP.2 exhibited decreased infectivity in CaLu-3 cells compared to BA.2.86 (p < 0.0001 for all), with 109 110 SLip exhibiting the lowest infectivity of the group, ~2.2-fold decrease compared to JN.1 (p < 0.001) (Figure 1D). Overall, the recently emerged FLiRT and KP.2 subvariants, especially SLip, exhibit decreased infectivity in 111 CaLu3 cells compared to earlier variants BA.2 and XBB.1.5, as well as their parental BA.2.86. 112

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Increased resistance of SLip, FLiRT and KP.2 to COVID-19 bivalent vaccinated human sera by newer variants
 compared to parental JN.1

To test the extent of escape from neutralization by selected variants, we first used sera were from a cohort of healthcare workers (HCWs) at The Ohio State University Wexner Medical Center, who had received at least 2 doses of monovalent mRNA vaccine (WT) plus at least 1 dose of bivalent vaccine containing both WT and BA.4/5 spikes (n=10) (**Figures 2A-B**). Neutralization was measured using pseudotyped lentiviruses mixed with serial dilutions of sera and infected 293T-ACE2 cells to determine neutralization titers at 50% (NT<sub>50</sub>) for each

variant spike. As shown for all previous Omicron variants<sup>5,16,23</sup>, JN.1 had markedly lower titers relative to the ancestral D614G, with a 53.3-fold decrease (p < 0.0001). SLip, FLiRT, and KP.2 also exhibited dramatically decreased titers, with NT<sub>50</sub> 56.3-fold (p < 0.0001), 86.4-fold (p < 0.0001), and 76.7-fold (p < 0.0001) lower than D614G, respectively. Notably, whereas SLip showed a similar titer to JN.1, FLiRT and KP.2 had more dramatic decreases in titer, with 1.62-fold (p > 0.05) and 1.43-fold (p > 0.05) lower than JN.1, respectively (**Figures 2A-B**). Overall, FLiRT and KP.2 exhibit increased escape from neutralizing antibodies in bivalent vaccinated sera compared to parental variant JN.1 and related variant SLip.

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Antibodies in XBB.1.5 monovalent vaccinated hamster sera robustly neutralize FLiRT and KP.2, with reduced efficiency for SLip

We next measured neutralization using sera from golden Syrian hamsters vaccinated twice with XBB.1.5 131 monovalent recombinant mumps vaccine (n=10) (**Figures 2C-D**). As demonstrated previously<sup>16</sup>, the hamster 132 sera had robust neutralizing antibody titers against some of the latest Omicron subvariants, including BA.2.86, 133 as compared to the ancestral D614G. Neutralization titers for JN.1 were also increased, with a calculated  $NT_{50}$ 134 value of 6,613, which was 22.5-fold high than D614G (p < 0.0001). The NT<sub>50</sub> values for SLip, FLiRT, and KP.2 135 136 showed 9.9-fold (p < 0.0001), 15.8-fold (p < 0.0001), and 15.6-fold (p < 0.0001) increases compared to D614G. respectively, corresponding to a 2.3-fold, 1.4-fold and 1.4-fold decrease, respectively, relative to JN.1 (p > 0.05 137 138 for each) (Figures 2C-D). The overall high titer of XBB.1.5 monovalent vaccinated hamster sera against these new JN.1-derived variants was in sharp contrast to the generally low antibody titer exhibited by the bivalent 139 vaccinated group (Figures 2A-D), but the downward trends of each variant were similar, except for SLip. The 140 relatively strong neutralization escape of SLip from XBB.1.5 monovalent vaccine, as compared to FLiRT and 141 KP.2, was likely due to a specific amino acid R346T change in the receptor-binding domain of XBB.1.5, FLiRT 142 143 and KP.2 spikes, but not in the SLip spike (see Discussion). Nonetheless, sera from XBB.1.5-vaccinated 144 hamsters can effectively neutralize JN.1 and JN.1-derived subvariants.

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146 SLip, KP.2, and especially FLiRT, exhibit decreased sensitivity to neutralization by BA.2.86/JN.1-wave 147 convalescent sera compared to parental JN.1

148 To examine variant neutralization by antibodies induced during a natural infection, we employed sera from individuals who tested positive for COVID during the BA.2.86/JN.1 wave of infection during November 2023 and 149 150 February 2024 in Columbus. Ohio (n=7) (Figures 2E-F). Four were Columbus first-responders and their household contacts (n=4, P1 to P4) and three were ICU COVID-19 patients admitted to the OSU Medical Center (n=3, P5 to P7). 151 152 All patients had received different doses of mRNA vaccine, with samples being collected between 34-892 days following the last vaccination (**Table S1**). As we have shown previously<sup>16</sup>, neutralization titers were detectable, 153 albeit modest, and especially for P1-P4 against JN.1, with about 9-fold reduction relative to D614G (p < 0.01). 154 Titers against SLip, FLiRT, and KP.2 were further decreased, with reductions of 13.6-fold (p < 0.001), 15.2-fold 155 (p < 0.001), and 12-fold (p < 0.01) relative to D614G, respectively. Similar to the bivalent cohort, the FLiRT 156 variant exhibited the biggest drop in titers compared to JN.1 (1.70-fold decrease), though the difference was not 157 statistically significant (p > 0.05) (Figures 2E-F). Samples P5, P6, and P7 were collected from individuals 158 admitted to the ICU at the Ohio State University Wexner Medical Center (Figure 2F, Table S1). Notably, two of 159 these patients, P6 and P7, exhibited higher titers against the JN.1-lineage variants. P6 is a 77-year-old male 160 who received one dose of the Moderna monovalent vaccine and one dose of the Pfizer bivalent vaccine, with 161 the sample taken 434 days after his last vaccination. P7 is a 46-year-old female who received three doses of the 162 Moderna monovalent vaccine and one dose of the Moderna bivalent vaccine, with her sample collected 334 days 163 after her last vaccination. P5 is a 49-year-old male ICU patient and had only received two doses of the Moderna 164 monovalent vaccine: his sample was taken 892 days after his last vaccination, with neutralizing antibody titers 165 against JN.1, SLip, FLiRT, and KP.2 being the lowest among the three ICU patients. Overall. sera of 166 BA.2.86/JN.1 convalescent individuals effectively neutralized the latest JN.1-lineage subvariants SLip, FLiRT 167 and KP.2. but with somewhat reduced efficiency for FLiRT. 168

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# 170 Class III monoclonal antibody (mAb) S309 does not neutralize SLip or FLiRT

Another critical strategy for pandemic control measures is the use of therapeutic monoclonal antibodies, which was demonstrated during COVID-19 pandemic, especially between 2020-2021<sup>24</sup>. However, because of their binding being limited to a single epitope on the SARS-CoV-2 spike, single mutations can easily disrupt their efficacy, making most of the developed mAbs completely ineffective<sup>25-27</sup>. Notably, we and others have previously shown that binding of class III mAb S309 is largely maintained against Omicron subvariants, apart from BA.2.75.2, CA.3, CH.1.1, BA.2.86, and JN.1<sup>5,16,23,28</sup>. This trend appeared to continue, as both SLip and FLiRT

exhibited a complete escape of neutralization by S309 (**Figures 3A-B**). We did not perform this experiment for

178 KP.2, which harbors the conserved D339H mutation critical for S309 resistance (see *Discussion*).

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Antigenic cartography analysis demonstrates decreased antigenic distances of SLip, FLiRT and KP.2 in XBB.1.5
 monovalent-vaccinated and BA.2.86/JN.1-infection groups

To further elucidate the relationships between these variants, we conducted antigenic cartography analysis 182 (Figure 4). Briefly, this analysis transforms neutralization titers based on relative differences between titers for 183 each variant (circles) and each serum sample (squares) displayed as antigenic units (AU). As would be expected, 184 JN.1 subvariants are antigenically distinct from the ancestral D614G in the bivalent cohort<sup>16</sup>, with FLiRT being 185 the most distinctive compared to SLip, KP.2 and their parental JN.1 (Figure 4A). In the XBB.1.5 monovalent 186 vaccinated hamster group, distances between D614G and the JN.1 variants are markedly reduced, from ~6 AU 187 in the bivalent cohort down to ~4-5 AU. The variants are also clustered more closely to each other, with SLip 188 being slightly further away from FLiRT and KP.2 (Figure 4B). A similar phenomenon was observed in the 189 BA.2.86/JN.1 wave cohort, with overall shorter antigenic distances (~3-4 AU) compared to the bivalent and 190 XBB.1.5 monovalent cohorts. Again, JN.1-derived subvariants largely cluster together, with parental JN.1 being 191 192 relatively distant from SLiP, FLiRT, and KP.2 (Figure 4C).

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194 SLip, FLiRT, and KP.2 spikes exhibit modestly decreased fusogenicity, surface expression and processing 195 relative to JN.1

Our previous studies revealed notable changes in spike biology of many Omicron variants, including their 196 membrane fusogenicity and processing. Here we characterized the ability of spikes from new JN.1-derived 197 subvariants to trigger fusion between cell membranes (Figures 5A-D), their expression on cell plasma 198 199 membranes (Figures 5E-F), as well as their processing into S1/S2 subunits by furin in virus producer cells (Figure 5G). Similar to other Omicron variants, JN.1 exhibited markedly reduced cell-cell fusion activity relative 200 to D614G<sup>16</sup>. This downtrend was maintained for FLiRT and KP.2, and more so for SLip, in both 293T-ACE2 and 201 CaLu-3 cells (p < 0.0001 compared to D614G). The level of cell-cell fusion activity for these three new 202 subvariants appeared to be lower than parental JN.1 (p < 0.01). We also investigated expression of spikes on 203 the surface of 293T cells, which were used to produce pseudotyped vectors. We found that JN.1-derived 204

subvariants exhibited a 2~3-fold decrease in expression compared to ancestral D614G (p < 0.0001), with FLiRT being significantly lower than the parental JN.1 (p < 0.0001) (Figures 5E-F). We probed lysates of the 293T cells for S2 subunits of spike to determine the extent of furin cleavage efficiency by quantifying the ratio of S2/S, and we observed that the processing efficiency for SLip, FLiRT, and KP.2 spikes was modestly decreased compared to JN.1 (Figure 5G). Overall, the decreases in cell-cell fusion and spike processing are consistent with their attenuated infectivity in 293T-ACE2 and CaLu-3 cells (Figures 1C-D).</p>

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# 212 Structural modeling of mutations in SLip, FLiRT, and KP.2 spikes

To better understand the impact of spike mutations on these new variants, we performed homology modeling 213 to investigate alterations in receptor engagement, spike conformational stability, and antibody interactions. 214 Residue R346 in the receptor binding motif (RBM) can form both a hydrogen bond and a salt bridge with residue 215 D450, which is present in the parental BA.2.86 lineage (N450D). This interaction pulls residue D450 and its loop 216 away from RBM and potentially disturbs the process of receptor engagement. The R346T mutation abolishes 217 this interaction, releasing tension on residue D450 and the RBM, thus potentially enhancing ACE2 binding affinity 218 (Figure 6A). Conversely, residues L455 and F456, which are centrally located within the RBM, are encased in 219 a hydrophobic cade formed by Y421, Y453, Y473, and Y489. This hydrophobic core is crucial for ACE2 binding. 220 Mutations such as F456L and L455S found in strains JN.1 and SLip can reduce the local hydrophobicity of the 221 222 RBM, diminishing interactions with ACE2 residues T27, K31, D30, and H34 and thus potentially decreasing viral affinity for ACE2 (Figure 6B). Additionally, structural analysis indicates that residue V1104 is situated in a 223 hydrophobic core, together with P1090, F1095 and I1115 on the spike stem region. The V1104L mutation fills a 224 cavity and improves the local hydrophobic interaction, potentially stabilizing the prefusion spike conformation, 225 which would reduce the efficiency of spike protein transition to a postfusion conformation (Figure 6C). Residues 226 D339 and R346 lie within the epitope region of class III antibodies, including S309 (Figure 6D). Mutations at 227 these positions are present in the BA.2.86 lineage and subsequent lineages FLIRT and KP.2, which could 228 enhance viral evasion from antibody neutralization. Lastly, residues F456 and L455 on the RBM are frequently 229 targeted by class I RBD neutralizing antibodies, such as CC12.1 (Figure 6E). Therefore, the L455S and F456L 230 mutations, which involve changes in size and chemical properties, can effectively enable viral evasion from 231 humoral immunity established by prior infection and/or vaccination. 232

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# 234 DISCUSSION

The ongoing evolution of the SARS-CoV-2 spike protein continues to pose significant challenges to control 235 the COVID-19 pandemic. Though BA.2.86 did not prove to have increased evasion of neutralizing antibodies in 236 vaccinated and convalescent sera relative to prior variants<sup>2-4,16,29</sup>, subsequent variants, namely JN.1, have shown 237 238 mounting escape<sup>9,14,16,17</sup>. Recent SARS-CoV-2 Omicron variants have been accumulating convergent mutations in the spike, particularly at key sites including L455, F456, and R346. These sites have critical roles in 239 immunogenicity, receptor binding, and overall viral fitness<sup>9,23,30,31</sup>. Interestingly, despite its detrimental impact on 240 ACE2 binding<sup>14</sup>, the single L455S mutation that differentiates JN.1 from BA.2.86 led to markedly more immune 241 evasion<sup>9,14,16,17</sup>, sending the variant to dominance worldwide<sup>12,19</sup>. Here, we show that F456L (SLip) and R346T 242 (FLiRT) contribute to further escape of JN.1-derived variants from neutralizing antibodies in all cohorts tested 243 relative to their parental JN.1 (Figures 2A-F). FLiRT displayed the greatest decreases of nAb titer in bivalent 244 immunized HCWs and in BA.2.86/JN.1 wave patients, likely due to the fact that the immunogens for both cohorts, 245 i.e., WT, BA.4/5 or BA.2.86/JN.1, lack the R346T mutation (Figures 2A-B, Figures 2E-F). This notion is further 246 supported by our finding that both FLiRT and KP.2 are neutralized better than SLip by the XBB.1.5-monovalent 247 hamster serum samples, and that XBB.1.5 spike immunogen harbors the critical R346T mutation, which is 248 present in both FLiRT and KP.2 but not SLip (Figures 2C-D). We and other groups have previously 249 250 demonstrated that R346T is a critical site for monoclonal antibody binding and immune evasion<sup>9,23</sup>.

Another advantage of the R346T mutation is compensating for the loss of affinity for ACE2 caused by the 251 L455S and F456L mutations (Figure 6B). Both the L455S and F456L mutations reduce hydrophobic contacts in 252 the RBD, leading to a less binding for ACE2. R346T helps compensate for this reduction by strengthening 253 254 conformational support in the receptor-binding motif (RBM) (Figure 6A). The consequences of these effects can 255 be seen in the increased viral infectivity in FLiRT and KP.2 relative to SLip (Figures 1C-D). In particular, the KP.2 variant benefits from the effects of V1104L, which serves to further stabilize the spike conformation through 256 hydrophobic internal cavity filling (Figure 6C), likely resulting in a less efficient transition from prefusion and 257 postfusion spike, therefore impeding infectivity. We found that FLiRT and SLip were both resistant to 258 neutralization by class III monoclonal antibody S309 like their parental variants BA.2.86 and JN.1. Accordingly, 259

all of these variants including KP.2 possess the D339H mutation, which is situated directly in the center of the class III antibody epitope, creating a steric hindrance that abolishes S309 binding (Figure 6D).

The SLip variant displayed the lowest neutralizing antibody titers in the XBB.1.5-monovalent hamster cohort, 262 likely due to the presence of the F456L mutation on top of its parental JN.1 containing L455S. The F456L 263 mutation has occurred in several previous circulating variants, including FLiP, thus contributing to their strong 264 265 immune evasion<sup>32</sup>. It is of note that this mutation is increasing in frequency among circulating variants<sup>1</sup>. F456L mediates immune evasion by altering key epitopes targeted by class I monoclonal antibodies (Figure 6E), 266 although other mutations (e.g., L455S) also contribute to this disruption of the class I epitopes. Notably, F456L 267 is not present in XBB.1.5 spike immunogen, which could explain why SLip had the lowest neutralizing antibody 268 titers in the XBB.1.5-monovalent hamster cohort. However, titers of XBB.1.5 monovalent hamster sera were still 269 well above the limit of detection and only displayed a modest drop from JN.1, apart from SLip, suggesting that 270 XBB.1.5 spike as an immunogen can still provide potentially effective protection against JN.1-lineage variants. 271 In addition, XBB.1.5-monovalent hamster sera exhibited less distance between the JN.1-lineage spikes, again 272 suggesting that the XBB.1.5 spike as an immunogen could stimulate a broader antibody response than the 273 WT+BA.4/5 bivalent vaccine (Figures 4A-B). 274

The BA.2.86/JN.1-wave cohort had similar antigenic mapping to the XBB.1.5 cohort, with even shorter 275 distances between D614G and the JN.1 subvariants - and the JN.1 variants themselves were also closely 276 277 clustered (Figure 4C). This pattern of response is similar to data presented by other groups, which showed that JN.1 infection, together with prior immunization, stimulates superior neutralizing antibody titers against JN.1 278 variants compared to BA.5, XBB, or XBB.1.5 breakthrough infections<sup>9,14</sup>. The shorter antigenic distance for our 279 cohort, as well as the results from other groups, suggest that the JN.1 spike can and probably should serve as 280 a more effective immunogen to stimulate neutralizing antibodies against JN.1-lineage variants. Overall, the 281 282 difference between XBB.1.5 and BA.2.86/JN.1 spikes as immunogens against JN.1 variants (except SLip) appears negligible (Figures 2C-F, Figures 4B-C). 283

We did not find evidence of further enhanced nAb escape for KP.2 spike in three sets of sera relative to FLIRT and SLip (**Figures 2E-F**), which explains its increasing dominance in circulation. However, KP.2 acquired additional mutations in other regions of the SARS-CoV-2 genome<sup>1</sup>, including a T2283I mutation in non-structural protein 3 (nsp3) outside the papain-like protease (PLP) domain, which likely facilitates viral replication and/or

modulate the host immune response<sup>33,34</sup>. Further investigations using authentic KP.2 and related JN.1 lineage variants shall help distinguish between possible mechanisms that endow KP.2 with a selective advantage over JN.1 and other subvariants in the pandemic.

Another interesting and somewhat surprising finding of this work is that most of the newly emerged JN.1 291 subvariants, especially SLip, exhibit decreased infectivity and cell-cell fusion activity in CaLu-3 cells compared 292 to the parental JN.1 (Figure 1, Figure 5). Flow cytometric and western blotting analyses reveal decreased 293 expression levels of the variant spike proteins on the plasma membrane of virus-producing cells, as well as 294 reduced efficiency of spike processing by furin in the cell. Together, these findings could explain, in part, the 295 observed infectivity and fusion phenotypes. Noticeably, these aspects of spike biology differ markedly from their 296 parental BA.2.86 as well as some of the previously dominating Omicron variants, such as XBB.1.5 and EG.5.1, 297 which exhibit increased spike processing, fusogenicity, and/or infectivity in CaLu-3 cells<sup>35</sup>. While the mechanism 298 and implications of these differences in spike biology remain to be further investigated, our results suggest that 299 some of these mutations, including F456L, R346T and V1140L, though beneficial for antibody escape, could 300 negatively impact other aspects of spike biology, highlighting the critical tradeoff between immune evasion and 301 viral fitness. 302

While the global COVID-19 pandemic has been declared over, SARS-CoV-2 continues to evolve and escape 303 from host immunity elicited by vaccination and/or infections. Our data suggest that more recent JN.1-lineage 304 305 variants have altered properties in immune escape and biology, and they will continue to evolve. Our findings highlight the importance of continued tracking and characterization of emerging variants of SARS-CoV-2. Such 306 studies are especially critical at this stage in the pandemic, when most people have been exposed to the virus 307 at least once, if not several times, and thus have complex immunogenic backgrounds. Future vaccine 308 development should consider JN.1 and/or closely related spikes as potential immunogen(s), though XBB.1.5 309 monovalent vaccines could still offer some protections. 310

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# 312 Limitations of Study

Our experiments make use of pseudotyped lentivirus bearing the spike protein of interest, not authentic infectious SARS-CoV-2 strains. However, the lentivirus model has proven to be an accurate reflection on neutralization of live SARS-CoV-2 and shown to instrumental for evaluating the efficacy of COVID-19 vaccines<sup>36</sup>.

In addition, the timeliness of this work would not allow for thorough higher biosafety level three (BSL3) 316 experiments to be conducted. The sample size of our cohorts, particularly the BA.2.86/JN.1 convalescents, is 317 limited because of the IRB rules and restrictions. However, other similar studies have worked with cohorts of 318 similar size<sup>8,9,14</sup>, and we have also published work with similar cohort sizes with reliable results in the 319 past<sup>5,16,21,23,37</sup>. We recognize that homology modeling is not as precise as authentic cryoelectron microscopy 320 (cryo-EM) structure, and the impact of key mutations on ACE2 interaction and antibody engagement would 321 require confirmation by further structural studies. Nonetheless, data from these relatively small cohorts shall 322 provide important insight into the biology of SARS-CoV-2 and offer timely guidance for future COVID-19 vaccine 323 formulations. 324

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- 352

### 353 DECLARATION OF INTERESTS

- The authors do not declare any competing interests.
- 355

## 356 Figure Legends

Figure 1: Genetic relationship, distribution and infectivity of JN.1-derived subvariants. (A) Schematic 357 depicting spike protein mutations that characterize JN.1 and its subvariants. Related XBB.1.5 variants including 358 FLiP are included. (B) Variant proportions over time in circulation in the United States (December 2023 – May 359 360 2024). Data was downloaded from the Centers of Disease Control website and replotted. (C-D) Infectivity in 293T-ACE2 and CaLu-3 cells. Pseudotyped lentiviruses bearing the spike of interest were used to determine 361 entry into (C) 293T-ACE2 and (D) CaLu-3 cells. Relative luminescence readouts were normalized to D614G 362 (D614G = 1.0) for plotting. Bars in (C) and (D) represent means ± standard deviation from six individual 363 measurements of viral infection of different doses (n = 6). \*\* p < 0.01; \*\*\*\*p < 0.001; ns p > 0.05. 364

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Figure 2: Neutralization of SLip, FLiRT, and KP.2 by bivalent vaccinated healthcare workers, XBB.1.5vaccinated hamsters, and BA.2.86/JN.1 convalescent individuals. Pseudotyped lentivirus was used to perform neutralizing antibody assays with (A-B) sera from HCWs that received at least 2 doses of monovalent vaccine and 1 dose of bivalent booster (n= 10), (C-D) golden Syrian hamsters inoculated twice with recombinant mumps virus carrying XBB.1.5 spike (n = 10), (E-F) people infected during the BA.2.86/JN.1-wave in Columbus,

OH, and **(A, C, and E)**. Plots depicting the geometric mean of neutralizing antibody titers at 50% (NT<sub>50</sub>) are shown, with fold changes relative to D614G displayed at the very top. **(B, D, and F)** Heatmaps depicting the NT<sub>50</sub> values for each cohort by individual sera sample. \*\*p < 0.01; \*\*\*p < 0.001; \*\*\*\*p < 0.0001.

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Figure 3: Neutralization of SLip, FLiRT, and KP.2 by class III mAb S309. Neutralization was performed using lentiviral pseudotypes carrying each of the indicated spike proteins of the JN.1 subvariants to assess the effectiveness of mAb S309. (A) Neutralization curve for each of the variants for S309 and (B) the calculated IC<sub>50</sub> values.

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Figure 4: Antigenic mapping of neutralization titers for bivalent-vaccinated, XBB.1.5 monovalentvaccinated, and BA.2.86/JN.1-wave-infected cohorts. Antigenic cartography analysis was conducted using the Racmacs program to create antigenic distance maps for the neutralization titers in the (A) bivalent HCW, (B) XBB.1.5-monovalent vaccinated hamsters, and (C) the BA.2.86/JN.1 convalescent cohorts. Colored circles represent the different spike antigens, small squares represent individual sera samples. One antigenic distance unit (AU = 1) is represented by one side of the grid squares. 1 AU is equivalent to about 2-fold differences in overall neutralization titers.

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388 Figure 5: Cell-cell fusion, surface expression and processing of SLip, FLiRT, and KP.2 spikes. (A-B) Representative images of fused cells with 293T cells transfected to produce spike plus GFP and co-cultured with 389 (A) 293T-ACE2 cells or (B) CaLu-3 cells. Images were taken 4 hours (CaLu-3) and 6.5 hours (293T-ACE2) after 390 co-culturing. (C-D) Plots of average area of fused cells for each spike for 3 total replicates (n = 3) for (C) 293T-391 392 ACE2 and (D) CaLu-3 cells. (E-F) Surface expression of spike on 293T cells used to produce pseudotyped 393 lentiviruses was determined using anti-S1 antibody by flow cytometry. (E) Representative histogram depicting relative S1 signal for each variant and (F) a plot of the geometric mean fluorescence values for 3 replicates (n 394 =3). (G) 293T cells used to produce pseudotyped lentivirus were lysed and used for western blotting to probe for 395 full length and S2 subunits of spike and GAPDH (loading control). Relative differences between band intensities 396 were determined using NIH Image J and normalized to D614G (D614G = 1.0), \*\*\*\*p < 0.0001. 397

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399 Figure 6: Structural modeling of ACE2 binding, conformation stability, and antibody evasion by mutations on SLip, FLiRT, and KP.2 spikes. (A) R346T enhances ACE2 binding by abolishing a salt bridge 400 to D450 and releasing the tension on RBM. Contacting residues are shown as sticks. Hydrogen bonds and salt 401 bridge are shown as yellow dots. (B) F456L and L455S reduce the local hydrophobicity on RBM, therefore 402 potentially decreasing the spike affinity for ACE2. Mutated residues are shown as sticks, while contacting 403 residues, including four residues on ACE2 and four tyrosine residues forming a hydrophobic cage are shown as 404 lines, (C) Position of V1104L mutation and its role in conformational stabilization through cavity filling. (D) D339 405 and R346 are within the epitope region of class III antibody S309. Mutations on these two residues can contribute 406 significantly to viral evasion. (E) Residues L455 and F456 (as sticks) surrounded by four tyrosine (as lines) are 407 frequently targeted by RBD class I Mabs, such as CC12.1. In all panels, RBD is colored in green, ACE2 in brown, 408 409 antibodies in magenta, cyan and yellow, and mutations are highlighted in red. 410 **STAR METHODS** 411 **RESOURCE AVAILABILITY** 412 Lead contact 413 Further information and requests for reagents and resources can be requested from the lead contact, Dr. Shan-414 Lu Liu (liu.6244@osu.edu). 415 416 Materials availability 417 Plasmids generated for this study can be made available upon request from the lead contact. 418 419 Data and code availability 420 This paper does not report original code.  $NT_{50}$  values and de-identified patient information will be shared by the 421 lead contact upon request. Any other additional data can be provided for reanalysis if requested from the lead 422 423 contact. 424

- EXPERIMENTAL MODEL AND SUBJECT DETAILS 425
- Vaccinated and patient cohorts 426

The first cohort used in this study were healthcare workers (HCWs) at the Ohio State Wexner Medical Center 427 that received at least 2 doses of monovalent WT mRNA vaccine and at least 1 dose of bivalent (WT+BA4/5) 428 mRNA vaccine (n=10). Serum samples were collected under the approved IRB protocols 2020H0228, 429 2020H0527, and 2017H0292, All individuals received 2 homologous doses of monovalent mRNA vaccine, with 430 5 having received the Moderna formulation and 5 the Pfizer formulation. Four of these individuals received a 431 homologous Moderna monovalent booster while 1 received a Pfizer monovalent booster. Four of the individuals 432 in the Pfizer group received a homologous Pfizer monovalent booster while the last individual did not receive a 433 monovalent booster. All individuals received 1 dose of bivalent mRNA vaccine encoding both WT and BA.4/5 434 spikes. Five received a Moderna bivalent dose and 5 received a Pfizer bivalent dose. The range of ages of 435 individuals in this cohort was 27-46 years old with a median of 37.5 males and 5 females were included. Blood 436 was collected between 23-108 days post bivalent booster administration. 437

The next group used were golden Syrian hamsters (Envigo, Indianapolis, IN) vaccinated with monovalent XBB.1.5. The vaccine platform was a recombinant mumps virus expressing XBB.1.5 spike. The hamsters were vaccinated intranasally with  $1.5 \times 10^5$  PFU and administered a booster dose three weeks later. The hamsters were all 15 weeks in age. Blood was collected 2 weeks after administration of the booster dose.

The final cohort were individuals that were infected during the BA.2.86/JN.1 wave of infection in Columbus. 442 OH (n=7). These sera samples were pulled from two sampling cohorts; the first were patients admitted to the 443 444 ICU in the Ohio State University Wexner Medical center (n=3), the second were first responders and their household contacts part of the STOP-COVID cohort who were sampled when they became symptomatic (n=4). 445 Samples were collected under the approved IRBs protocols 2020H0527, 2020H0531, 2020H0240, and 446 2020H0175. All samples were confirmed positive through RT-PCR and were collected between 11/23/2024 and 447 2/16/2024 which is when BA.2.86/JN.1 variants were dominant in Columbus. OH. Infecting variant was confirmed 448 for a subset of samples through sequencing of nasopharyngeal swabs and next-generation sequencing using 449 Artic v5.3.2 (IDT, Coralville, IA) and Artic v4.1 primers (Illumina, San Diego, CA). 450

451 See **Table S1** for full details on these cohorts.

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453 Cell lines and maintenance

Cell lines used in this study include human epithelial kidney 293T cells (ATCC, RRID: CVCL\_1926), 293T cells
overexpressing human ACE2 (293T-ACE2) (BEI Resources, RRID: CVCL\_A7UK), and human lung epithelial
cell line CaLu-3 (ATCC, RRID:CVCL:0609). 293T and 293T-ACE2 cells were cultured in DMEM (Sigma Aldrich,
Cat #11965-092) with 10% FBS (Thermo Fisher, Cat#F1051). and 0.5% penicillin/streptomycin (HyClone,
Cat#SV30010). CaLu-3 cells were cultured in EMEM (ATCC, Cat 30-2003) supplemented the same way. For
passaging, cells were first washed in PBS then detached with 0.05% trypsin+ 0.53mM EDTA (Corning,
Cat#27106). All cells were cultured at 37°C in 5% CO<sub>2</sub>.

461

# 462 METHOD DETAILS

### 463 Plasmids

All spike plasmids were in the pcDNA3.1 plasmid backbone with N- and/or C-terminal FLAG tags. The D614G plasmid was generated by GenScript Biotech via restriction enzyme cloning at Kpn I and BamH I sites and has a FLAG tag on both N- and C-termini. JN.1, FLip, SLip, FLiRT, and KP.2 were generated in-house through sitedirected mutagenesis. The pNL4-3-intronGluc HIV vector was originally acquired from David Derse at NIH<sup>38</sup>.

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# 469 **Pseudotyped lentivirus production and infectivity**

Pseudotyped lentivirus stocks were produced by transfecting 293T cells with pNL4-3 inGluc and the spike of 470 471 interest. The transfection ratio was 2:1 vector to spike. Transporter 5 Transfection Reagent (Polysciences, Cat#26008-5) was used to carry out polyethyleneimine transfections. Pseudovirus was collected by taking the 472 media off transfected cells 48 and 72 hours post-transfection. Equal volumes of media containing viral particles 473 were used to infect target cells. The gLuc signals were measured by taking a portion of infected cell media and 474 combining it with an equal volume of *Gaussia luciferase* substrate (0.1 M Tris pH 7.4, 0.3 M sodium ascorbate. 475 10 µM coelenterazine) and immediately reading luminescence in a Cytation 5 Imaging Reader (BioTek), 3 476 sequential readings were taken 48 and 72 hours post-infection and plotted. Relative infectivity was determined 477 by setting the readout of D614G to 1.0. 478

479

### 480 Virus neutralization assay

The pseudotyped lentivirus neutralization assay was performed as described prior<sup>36</sup>. The infectivity of lentivirus 481 stocks was pre-determined to ensure that similar amounts of infectious virus was used for each assay. Sera are 482 serially diluted, initially diluting 1:40 followed by 4-fold dilutions (1:40, 1:160, 1:640, 1:2560, 1:10240) with one 483 well left without sera. In the case of monoclonal antibody S309, the antibody was initially diluted to 12 µg/mL and 484 serially diluted 4-fold with final concentrations 12, 3, 0.75, 0.19, 0.047 µg/mL. Equal volumes of normalized 485 pseudovirus are then added to the diluted sera/antibody and incubated 1 hour at 37°C. The sera plus virus 486 mixture is then used to infect 293T-ACE2 cells and luminescence readouts are taken 48 and 72 hours post 487 infection. Neutralization titers at 50% are determined through least squares fit nonlinear regression using 488 GraphPad v10 (San Diego, CA) normalized to the no sera/no antibody control. 489

490

#### 491 Antigenic cartography analysis

Antigenic mapping was carried out using the Racmacs program v1.1.35 by following the workflow on the 492 program's associated GitHub entry (https://github.com/acorg/Racmacs/tree/master); Racmacs was run in R 493 (Vienna, Austria). The user first inputs raw neutralization titers and the program log2 transforms them and creates 494 a distance table representing antigenic distances between antigens (variant spikes) and individual sera samples. 495 The program then uses this information to perform multidimensional scaling and plot the individual antigens 496 (circles) and sera samples (squares) in two-dimensional space. The distance between points directly 497 498 corresponds to fold changes in neutralization titers. One "antigenic distance unit" (AU) is equal to a two-fold change in neutralization titer and is represented by one side of the square. Optimization options in the program 499 were kept default (2 dimensions, 500 optimizations, minimum column basis "none"). Maps were exported from 500 the "view(map)" command and labeled using Microsoft Office PowerPoint. 501

502

### 503 Cell-cell fusion

293T cells are first transfected with plasmids of spike of interest and GFP. The cells are then detached 24 hours post-transfection and co-cultured with the target cell line. The cells are then co-cultured either 6.5 hours (293T-ACE2) or 4 hours (CaLu-3) and then fusion was imaged with a Leica DMi8 fluorescence microscope. Areas of fusion were quantified using the Leica X Applications Suite and by outlining edges of GFP signal. Scale bars represent 150µM.

509

#### 510 Spike protein surface expression

293T cells used to produce pseudotyped lentivirus were collected using PBS plus 5mM EDTA to detach, and a portion of cells were fixed using 3.7% formaldehyde. Fixed cells were stained with anti-S1 polyclonal antibody (Sino Bio, T62-40591, RRID:AB\_2893171) followed by anti-Rabbit-IgG FITC secondary (Sigma, F9887, RRID:AB\_259816). Flow cytometry was run using an Attune NxT flow cytometer to determine surface expression of spike. Data was analyzed using FlowJo v10.8.1 software.

516

# 517 Spike protein processing

293T cells used to produce pseudotyped lentivirus were lysed using RIPA buffer (Sigma Aldrich, R0278) 518 supplemented with protease inhibitor (Sigma, P8340). Samples were subjected to SDS-PAGE (10% 519 polyacrylamide). Protein was transferred to a PVDF membrane then probed with anti-S2 (Sino Bio, T62-40590, 520 RRID:AB\_2857932) and anti-GAPDH (Proteintech, 10028230) antibodies. Secondary antibodies included anti-521 Rabbit-IgG-HRP (Sigma, Cat#A9169, RRID:AB 258434) and anti-Mouse-IgG-HRP (Sigma, Cat#A5728, 522 RRID:AB 258232). Gels were imaged using Immobilon Crescendo Western HRP substrate (Millipore, 523 WBLUR0500) on a GE Amersham Imager 600. Quantification of bands was determined using NIH ImageJ 524 (Bethesda, MD). 525

526

# 527 Structural modeling and analyses

528 Structural modeling of the impact of spike mutations on ACE2 binding, conformational stability, and antibody 529 evasion in the SLip, FLiRT, and KP.2 lineages was conducted using the SWISS-MODEL server. This analysis 530 utilized published X-ray crystallography and cryo-EM structures (PDB: 7WK2, 8ASY, 7YAD, 6XC2) as templates. 531 Key mutations were examined for their potential effects on these interactions, and the resulting models were 532 visually presented using PyMOL.

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# 534 QUANTIFICATION AND STATISTICAL ANALYSIS

All statistical analyses described in the Figure legends were conducted using GraphPad Prism 10. NT<sub>50</sub> values were calculated by least-squares fit non-linear regression. Error bars in (Figures 1C, 1D, 5C, 5D and 5F)

- represent means ± standard errors. Error bars in Figures 2A, 2C and 2E represent geometric means with 95%
- 538 confidence intervals. Error bars in Figure 3A represent means ± standard deviation. Statistical significance was
- analyzed using log10 transformed NT<sub>50</sub> values to better approximate normality (Figures 2A, 2C, 2), and multiple
- 540 groups comparisons were made using a one-way ANOVA with Bonferroni post-test. Cell-cell fusion was
- quantified using the Leica X Applications Suite software (Figures 5Aand 5B). S processing was quantified by
- 542 NIH ImageJ (Figure 5G).
- 543

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Variants	IC <sub>50</sub> ( µg/ml )	
D614G	0.16 ± 0.08	
BA.2	1.64 ± 0.34	
XBB.1.5	0.82 ± 0.17	
FLip	8.26 ± 0.64	
BA.2.86	>12	
JN.1	>12	
SLip	>12	
FLIRT	>12	

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