SOFTWARE TRANSFER AGREEMENT

Provider: National Cancer Institute (NCI)

Recipient Institution:

WHEREAS, Provider has certain proprietary software and associated material described below (hereinafter, collectively referred to as "Software") [Describe all items being transferred such as; software, executable code, source code, documentation, data and all other associated materials]:

National Cancer Institute dosimetry system for Computed Tomography (NCICT) software (NIH Ref. No. E-082-2016-0), provided as executable code (source code not provided), a computer program to assess radiation dose to organs in pediatric and adult patients undergoing computed tomography (CT) examinations as described in Lee et al. (Biomed. Phys. Eng. Express 8:065020, 2022), including further improvements thereof, and related documentation

National Cancer Institute dosimetry system for Nuclear Medicine (NCINM) software (NIH Ref. No. E-125-2019), provided as executable code (source code not provided), a computer program to assess radiation dose to organs in pediatric and adult patients undergoing nuclear medicine procedures as described in Villoing et al. (Biomed. Phys. Eng. Express 6:055010, 2020), including further improvements thereof, and related documentation

National Cancer Institute dosimetry system for Radiography and Fluoroscopy (NCIRF) Software (NIH Ref. No. E-127-2023), provided as executable code (source code not provided), a computer program to assess radiation dose to organs in pediatric and adult patients undergoing radiography, diagnostic fluoroscopy, and interventional fluoroscopy procedures as described in Lee et al. (Biomed. Phys. Eng. Express 9:045014, 2023), including further improvements thereof, and related documentation

Computational human phantom series, provided as binary voxel format or Digital Imaging and Communications in Medicine (DICOM) format (raw mesh format not provided), reference anatomy models representing newborn to adult males and females, as described in Lee et al. (Phys Med Biol 55:339-363, 2010) and Lee et al. (Phys Med Biol 60:2309-2324, 2015), including further improvements thereof, and related documentation

Please answer the following questions:

1) Is your organization either non-profit or a government-run entity?

YES

NO

If the answer is NO, please do not continue with the Software Transfer Agreement. Please contact Dr. Kevin Chang (kevin.chang@nih.gov) for additional licensing information.

2) Will the provided Software be used to replace commercially available radiation dosimetry tools? YES

NO

If the answer is YES, please do not continue with the Software Transfer Agreement. Please contact Dr. Kevin Chang (kevin.chang@nih.gov) for additional licensing information.

3) Will the provided Software be used to treat or diagnose current or future patients? YES
NO

If the answer is YES, please do not continue with the Software Transfer Agreement. Please contact Dr. Kevin Chang (kevin.chang@nih.gov) for additional licensing information.

Provider agrees to transfer such Software to Recipient Investigator, to be used solely in connection with the following research activity and for the following reasons (hereinafter "Project"). Describe with specificity the scope of use of Software under this agreement:

NOW, THEREFORE, in consideration of the premises and mutual covenants contained herein, the Provider and Recipient agree as follows:

- 1. SOFTWARE SHALL NOT BE USED FOR TREATING OR DIAGNOSING HUMAN SUBJECTS.
- 2. Recipient will not license or sell or use Software for commercial purposes or applications. Recipient Investigator shall retain control over Software and further will not transfer the Software to individuals not under Recipient Investigator's direct supervision without the advance written approval of Provider. Recipient agrees to comply with all regulations applicable to the Project and the use of the Software.
- 3. Recipient agrees not to copy Software, in whole or in part, except as required for use by Recipient Investigator for the conduct of the Project. Recipient shall not modify, extend, decompile, make derivatives of or reverse engineer the Software without written permission from Provider.
- 4. Information deemed confidential under this Agreement ("Confidential Information") shall be clearly marked "CONFIDENTIAL." Any information that is orally disclosed must be reduced to writing and marked "CONFIDENTIAL" by the provider of the information within thirty (30) days of such disclosure. To the extent permitted by applicable law, the Recipient agrees to employ all reasonable efforts to safeguard Provider's Confidential Information to ensure that no unauthorized person shall have access thereto and that no unauthorized copy, publication, disclosure or distribution, in whole or in part, in any form shall be made.
- 5. In all oral presentations or written publications concerning the Project, Recipient will acknowledge Provider's contribution of Software unless requested otherwise. Recipient may publish or otherwise publicly disclose the results of the Project, but if Provider has given Confidential Information to Recipient, such public disclosure may be made only after Provider has had 30 days to review the

- proposed disclosure, except when a shortened time period under court order or the Freedom of Information Act pertains.
- 6. The obligations of Recipient under Paragraph 4 above shall not extend to any part of the Confidential Information:
 - a. that can be demonstrated to have been publicly known at the time of disclosure; or
 - b. that can be demonstrated to have been properly in the Recipient's possession or that can be demonstrated to have been readily available to the Recipient from another proper source prior to the disclosure; or
 - c. that becomes part of the public domain or publicly known by publication or otherwise, not due to any unauthorized act by the Recipient or its subsidiaries; or
 - d. that can be demonstrated as independently developed or acquired by the Recipient without reference to or reliance upon such information; or
 - e. that is required to be disclosed by law, provided that the Recipient takes reasonable and lawful actions to avoid and/or minimize such disclosure.
- 7. The Recipient's obligations under Paragraphs 4 and 5 shall extend for a period of three (3) years from the date of disclosure.
- 8. Title in the Software shall remain with the Provider. It is understood that nothing herein shall be deemed to constitute, by implication or otherwise, the grant to either Party by the other of any license or other rights under any patent, patent application or other intellectual property right or interest. Provider reserves the right to distribute Software to others and to use it for Provider's own purposes.
- 9. When the Project is completed or this Agreement is terminated, whichever occurs first, Recipient will destroy all copies of Software and Provider's Confidential Information unless directed otherwise by Provider in writing.
- 10. This Agreement may be terminated by either Recipient or Provider by providing 30 days advance notice.
- 11. The Provider and Recipient each shall retain title to any patent or other intellectual property of their respective employees developed or created in the course of the Project defined in this Agreement. Neither Provider nor Recipient promise rights in advance for inventions developed under this Agreement.
- 12. No indemnification for any loss, claim, damage, or liability is intended or provided by any party under this Agreement. Each party shall be liable for any loss, claim, damage, or liability that said party incurs as a result of said party's activities under this Agreement, except that the NIH, as an agency of the United States, assumes liability only to the extent as provided under the United States Federal Tort Claims Act (28 U.S.C. Chapter 171).
- 13. Software is supplied AS IS, without any accompanying services or improvements from Provider. SOFTWARE IS SUPPLIED TO RECIPIENT WITH NO WARRANTIES, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. Provider makes no representations that the use of Software will not infringe any patent or proprietary rights of third parties.

Signatures Begin on Next Page

For Recipient:

Authorized Official* for Recipient:

*An Authorized Recipient Official is someone authorized to sign legal documents on behalf of the institution; this person usually works in the Technology Transfer Department or the Office of Sponsored Research.

Name:	Job Title:	
Signature	Date:	
Read and Understood by Recipient Investigator :		
Name:	Job Title:	
Signature:	Date:	
Recipient's Mailing Address for Legal Notices:		
Address:		
Email:	Phone:	
For Provider:		
Authorized Official for Provider:		
Name:	Job Title:	
Signature:	Date:	
Read and Understood by Provider's Investigator :		
Choonsik Lee, PhD		
Dosimetry Unit Head, Senior Investigator		
Radiation Epidemiology Branch		
Division of Cancer Epidemiology & Genetics, NCI, NIH		
Signature:	Date:	
Provider's Mailing Address for Legal Notices:		
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